104. Brominations of Cyclic Acetals from α-Amino Acids and α- or β-Hydroxy Acids with N-Bromosuccinimide

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The preparation of novel electrophilic building blocks for the synthesis of enantiomerically pure compounds (EPC) is described. Thus, the 2-(*tert*-butyl)dioxolanones, -oxazolidinones, -imidazolidinones, and -dioxanones obtained by acetalization of pivalaldehyde with 2-hydroxy-, 3-hydroxy-, or 2-amino-carboxylic acids are treated with N-bromosuccinimide under typical radical-chain reaction conditions (azoisobutyronitril/CCl₄/reflux). Products of bromination in the α -position of the carbonyl group of the five-membered-ring acetals are isolated or identified (2, 5, and 8; *Scheme 1*). The dioxanones are converted to 2H.4H-dioxinones under these conditions (12, 14, 15, 21, and 22; *Schemes 2* and 3). The products can be converted to chiral derivatives of pyruvic acid (methylidene derivatives 3 and 6) or of 3-oxo-butanoic and -pentanoic acid 16 and 23). The mechanism of the brominations is interpreted. The conversion of serine to enantiomerically pure dioxanones 26-28 (*Scheme 4*) is also discussed.

A) Introduction. – In the course of our investigations on the preparation of useful chiral building blocks for the synthesis of enantiomerically pure compounds (EPC) from simple amino and hydroxy acids, we have so far focused our attention on the nucleophilic reactivity (see for instance the heterocyclic enolates A-F). Most of this work was reviewed in [1] [2].



To test radical and electrophilic reactivity of the cyclic acetals at hand, we now studied their reactions with N-bromosuccinimide (NBS), hoping that we would obtain intermediates suitable for reactions with nucleophiles. The results, some of which are very surprizing to us, are described herein.

¹) Part of the projected dissertation of J.Z., ETH Zürich.

The brominations with NBS were all carried out under typical radical-chain conditions, *i.e.* in the presence of a chain initiator (azoisobutyronitrile, AIBN) in refluxing CCl_4 , as originally described by *Wohl* [4] and *Ziegler et al.* [5]²). The reaction times ranged from 1 to 5 h.

B) Bromination of Dioxolanones, Oxazolidinones, and Imidazolidinones. – The results observed with the five-membered heterocycles are collected in *Scheme 1*. The dioxolanone 1 from (S)-lactic acid [7] was brominated in essentially quantitative yield to give a single product 2, the constitution and configuration of which was proved by NMR measurements. HBr elimination with 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU) gave the 5-methylidene derivative 3, formally an enol acetal from pyruvic acid³) and pivalalde-hyde. Catalytic hydrogenation of the C=C bond in 3 gives the starting material 1 in high yield and with a diastereoselectivity greater than 95%. This not only proves that the enantiomeric excess is preserved *en route* from the lactic-acid derivative 1 to the pyruvic-acid derivative 3, but also demonstrates that the 2-*t*-Bu group on the dioxolanone ring directs the hydrogenation of the 5-methylidene group to the opposite face of the ring, as it does in the case of enolate alkylations [1] (both processes occur with rel. topicity *ul*-1,3 [9]).



²) For a recent review, see [6].

³) For the preparation and reactions of achiral pyruvic-acid enol acetals such as i, see the work by *Ramage et al.* [8].



The oxazolidinone 4 from (S)-alanine [10] was brominated by NBS under the standard conditions (see above) to give the 4-bromo compound 5, and subsequent dehydrohalogenation with DBU led to the methylidene derivative 6 (45% overall from 4). The two α,β -unsaturated carbonyl derivatives 3 and 6 thus available⁴), formally derivatives of 2-alkoxy- and 2-(acylamino)acrylate, respectively, should be amenable to nucleophilic and radical [16] conjugate additions. Finally, the glycine-derived imidazolidinone 7 [17], most readily prepared by a resolution route [18], gave a bromide 8 which was so reactive that it could hardly be isolated: attempted chromatography led to the rather stable hydroxy compound 9a, and reaction with MeOH gave the methoxy analogue 9b (53% overall from 7). The configuration of 9b is *cis* according to measurements of difference nuclear *Overhauser* effects (NOE) in the ¹H-NMR spectrum, suggesting that the bromide 8 has *trans*-configuration, with an S_N^2 -type substitution taking place. The ease with which this reaction occurred is promising with respect to substitutions by other nucleophiles which are now being studied in our laboratory⁵).

In the case of the dioxolanone 1, we detected no other bromination product besides 2. In contrast, the crude bromination mixture from the oxazolidinone 4 and from the imidazolidinone 7 contained considerable amounts of other Br-containing compounds of which 10 with a brominated acetal center was clearly identified by ¹H-NMR (*ca.* $30\%)^6$). By-products of this type do, however, not interfere with the isolation of the desired products 6 and 9.

C) Bromination of Dioxanones. – The NBS-brominations of the 1,3-dioxan-4-ones 11, 13, and 20 from (*R*)-3-hydroxybutanoic and -pentanoic acid are shown in *Scheme 2* and 3. The parent hydroxy acids are readily available from the biopolymers [2] PHB (polyhydroxybutyrate) [21] and PHB/PHV (polyhydroxyvalerate) [22]. Acetalization of aldehydes with these acids was achieved either directly (H⁺-catalyzed, azeotropic removal of H₂O) or through the corresponding silyl silyloxy esters [23–25]. In all cases studied, the reaction with NBS led to the α,β - unsaturated lactones (2*H*,4*H*-dioxinones). Thus, the *cis*-dimethyldioxanone 11 gave a 45% yield of the bromodimethyldioxinone 12 when treated with slightly more than 2 equiv. of NBS under the standard conditions⁷). Likewise, up to 70% of the monobromo- and up to 50% of the dibromodioxinone 14 and 15, respectively, could be isolated after reaction of the *cis*(*tert*-butyl)methyldioxanone 13 with NBS; both or mixtures of the two were hydrogenated⁸) catalytically to the chiral

⁶) In the case of 7, the major by-product was a dibromide of which we do not know the constitution (either a 5,5- or a 2,5-dibromoimidazolidinone). Bromination of the methyl oxazolidincarboxylates ii and iii (H instead of Br) gave the *cis/trans*-mixture of ii and iii predominantly.



⁷) Derived from the bromination at the acetal center, AcOH was isolated as by-product.

⁴) Compounds with similar functionality pattern have been isolated in our previous work on cystein-[11-13] and methionine-derived heterocycles [14] [15]. The reactions of these derivatives have not been studied as yet. The access described here is shorter and more simple than the former routes.

⁵) A chiral, non-racemic 2-bromoglycine derivative was obtained by NBS bromination of a morpholin-3-one and turned out to be also extremely reactive towards nucleophiles [19]. For another glycine a^2 -reagent, see [20].

⁸) The hydrogenative debromination is possible (H₂, 1 atm., Pd, Et₃N) without competing hydrogenation of the C=C bond. This latter process requires more drastic conditions (H₂, 30 atm. PtO₂) and gives exclusively the starting material, the *cis*-disubstituted dioxanone 13 (hydrogenation from the face opposite to the *t*-Bu group) [26].



acetoacetic-acid derivative 16, a most versatile synthetic building block [26]. Thus, 16 is now available on large scale by the bromination procedure, while the previously used lithium-enolate selenation/oxidation/elimination route [26], when scaled up, failed completely⁹).

The reactivity of the bromide 14 is demonstrated by its conversion with NaN₃ in DMF to the 5-azido derivative 17 (55%) which is readily reduced to the amino (18a) or to the acetamido compound (18b) (H_2 , 1 atm., Pd).



⁹) Bromination of the enolate from 13 gave a mixture of diastereoisomeric bromodioxanones iv¹). The pivalaldehyde acetal obtained with *cis*-2-hydroxycyclohexanecarboxylic acid yielded products of type v [27] by reactions of the enolate with heteroelectrophiles.

The two brominated products obtained from the ethyl-substituted dioxanone 20 (prepared from 19) were the allylic bromide 21 (1:1 mixture of diastereoisomers) and dibromide 22 (Scheme 3). The surprising difference between the NBS brominations of the methyl and ethyl analogues 13 and 20, respectively, is discussed below. The crude mixture 21/22 was hydrogenated (Pd, AcOEt, H₂, 1 atm.) to give the chiral non-racemic β -oxopentanoic-acid derivative 23. As in the other two cases (11 \rightarrow 12 and 13 \rightarrow 14/15; Scheme 2), the overall result is an introduction of a double bond into the dioxanone ring, with removal of the original PHB/PHV-derived chirality center to give products which are enantiomerically pure due only to the presence of the stereogenic acetal center in these molecules.

D) Discussion of the Results. - We have done only a few experiments to elucidate the mechanism of the brominations described here. It is important to state first, that the reactions work better in the presence of AIBN than in its absence¹⁰).

The increasing amount of bromination at the acetal center in going from the O,O- (1, 13, and 20) to the N,Oand N, N-acetals (4, ii, and iii and 7, respectively) is not compatible with the fact [30] that the reaction of NBS with *p*-substituted benzyl methyl ether follows a *Hammet* relationship¹¹), with a *p*-value of -0.35^{12}). It is remarkable that the diastereotopic faces of the trigonal centers at the α -carbonyl (ring) position of the intermediates from the five-membered ring acetals 1, 4, and 7 exhibit the same high reactivity differences as those of the corresponding enolates (see A-F and [1]), although the brominations are carried out in refluxing CCl₄ and the enolate alkylations at - 75° in THF.

The influence of steric hindrance is evident from the different reactivity of the dioxanones 11 (2-methyl) and 13 (2-(tert-butyl)): 11 also underwent acetal bromination, while no reaction at the acetal center of 13 was detected¹³).

In the case of the dioxanones 13 (6-methyl) and 20 (6-ethyl), we have done some experiments in order to gain information about the reasons for their different reactivity. The following observations appear to be relevant: a) bromination (NBS, AIBN) of the 6-methyldioxinone 16 gave the products vi^{14}) and 15 of allylic attack¹⁵) and the vinylic bromide¹⁴) 14 which must result from addition/elimination; b) bromination of the dioxanone 13 with 0.2 equiv. NBS in the presence of AIBN gave the dioxinone 16 in ca. 10% yield; c) treatment of the 6-ethyldioxinone 23 with NBS/AIBN/CCl₄ under the same conditions employed with the methyl analogue 16 gave only rise to bromination in the allylic position ($\rightarrow 21$ and 22). These results are in agreement with the following assumptions about the mechanism; a) The 2-(*tert*-butyl)dioxanones 13 and 20 react preferentially¹⁰) by abstraction of H⁶, see

¹³) For an example of steric hindrance in a radical chlorination, see [33].

(see, however, Footnote 21 in the Exper. Part).

- ¹⁵) For the allylic bromination of the enol acetonide of acetoacetic acid with NBS/AIBN, see [34].
- 16) The half-chair conformation G of cis-2,6-disubstituted 1,3-dioxan-4-ones was deduced from the NMR spectra [23]. In this connection, it is interesting to note that a 3:1 mixture of trans- and cis-dioxanone 13 yields, after NBS bromination, the same mixture of bromodioxinone 14/15 as the pure cis-isomer 13, but in ca. 5% ee. The cis/trans-mixture equilibrates under acidic conditions [23], and this is in competition with the bromination. Fortunately, the bromination of the *cis*-dioxanone 13 is faster than its epimerization! Luckily, the dioxinones do not racemize under the conditions of their preparation





¹⁰ For a discussion of the mechanism of NBS bromination of acyl chlorides, see [28]. Bromination of butyl acetate occurs only in the alcohol-derived chain [29].

¹¹⁾ For the values of σ_p^+ , see [31].

The crystal structure of an N-benzoyloxazolidin-5-one [14] shows a pyramidalization of the amide N-atom. If the N-atom of the imidazolidinone 7 and of the oxazolidinones 4, ii, and iii, see Footnote⁶), could be considered as an amine rather than an amide N-atom, our results would be less surprising (σ_n^+ : amino < alkoxy < acylamino). For a discussion of stereoelectronic effects in the stabilization of radicals at an acetal center, see [32].

¹⁴⁾ Treatment of the dioxinone 16 with Br2 in CCl4 in an NMR tube gave exclusively the 5-bromodioxinone 14. An intermediate, for example vii, was not detected.

 G^{16}). b) The less bulky substituent in the 2-position of 11 allows for competing H² abstraction. c) The resulting 6-bromodioxanones readily loose HBr to give dioxinones¹⁷). d) These, in turn, undergo competing bromination at the allylic position¹⁸) and the double bond.

E) Appendix. A Chiral β -Hydroxypropanoic-Acid Derivative from Serine. – Although not obtained by halogenation with NBS, the bromo acetals 26 and 27 with dioxanone structure should also be mentioned here (Scheme 4). They were available from the amino acid serine which was converted to 2-bromo-3-hydroxypropanoic acid 24 by the known retentive nucleophilic substitution (NaNO₂, KBr, H₂SO₄) [36], followed by silylation (\rightarrow 25) and silyltriflate-catalyzed [37] acetalization of pivalaldehyde. The mixture of the two diastereoisomers 26 and 27 which were formed in essentially equal amounts could be separated chromatographically. Catalytic hydrogenative debromination of the *cis*-isomer 26 gave (*R*)-2-(*tert*-butyl)-1,3-dioxan-4-one (28), an enantiomerically pure derivative of 3-hydroxypropanoic acid¹⁹).



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¹⁷) In fact, the 5-bromodioxanone iv shown in *Footnote* 9 is quite resistent to HBr elimination.

¹⁸) The benzylic position of ethylbenzene reacts 25 times faster with NBS than that of toluene [35].

¹⁹) With respect to the mechanism of bromination of 1,3-dioxan-4-ones, as discussed in *Chap. D*, it is interesting to note that **28** does not react with NBS, neither at the sterically hindered acetal center nor at C(6) which is devoid of substitution rendering the corresponding radical less stable.

Experimental Part

General. All solvents for reactions were of purissimum quality. Unless otherwise stated, org. extracts were dried with MgSO₄ and evaporated by using a rotary evaporator. Buffer soln. of pH 7 was prepared by dissolving Na₂H₂PO₄ (85 g) and NaOH (14.5 g) in H₂O (950 ml). Bulb-to-bulb distillations: air bath temp. Flash chromatography (FC): Merck silica gel (mesh size 0.040–0.063). Specific rotations: Perkin-Elmer 241 polarimeter; CHCl₃ solns. at 25°; c in g/100 ml. M.p.: Büchi/Tottoli melting point apparatus; uncorrected. IR spectra: Perkin-Elmer 297 spectrometer; KBr discs or CHCl₃ soln. ¹H- and ¹³C-NMR spectra: Varian EM-390 (90 MHz) or Bruker WM 300 (300 MHz) instrument and Varian CFT-20 instrument, resp.; TMS as internal standard, CDCl₃ solns. MS: 70 eV; Hitachi-Perkin-Elmer RMV 6M instrument.

(2R, 5R)-5-Bromo-2-(tert-butyl)-5-methyl-1,3-dioxolan-4-one (2). The mixture of dioxolane 1 [7] (5 g, 31.6 mmol), NBS (8.9 g, 50 mmol) and A1BN (120 mg) in CCl₄ was refluxed for 4.5 h, then cooled to 0°, filtered, and evaporated. The crude product (10 g), which was purified by bulb-to-bulb distillation: pure 2 (7.2 g, 96%). M.p. 36–38°. B.p. 82–85°/18 Torr. $[\alpha]_{D}^{25} = +229.8°$ (c = 0.99). IR (KBr): 3560–3300m (br.), 2970m, 2940m, 2870m, 1820s, 1220s, 1140s, 1070s, 630m, 595m. ¹H-NMR (300 MHz): 1.01 (s, t-Bu); 2.23 (s,CH₃); 5.22 (s,CH). ¹³C-NMR (20 MHz): 23.30; 27.02; 33.51; 89.04; 107.30; 166.96. MS: 238 (0.3, M^{++}), 236 (0.3, M^{++}), 157 (5), 149 (31), 99 (33), 85 (30), 57 (100), 56 (32), 43 (52), 41 (43), 28 (69). Anal. calc. for C₈H₁₃BrO₃: C 40.53, H 5.53; found: C 40.54, H 5.63.

(2S)-2-(tert-Butyl)-5-methylidene-1,3-dioxolan-4-one (3). To a soln. of 2 (4 g, 16.8 mmol) in benzene (35 ml), DBU (2.6 ml, 17.5 mmol) was added. The mixture was stirred for 50 min, filtered, and evaporated. The residue was purified by FC (hexane/Et₂O 4:1): 3 (1.8 g, 71 %) as an oil. $[\alpha]_{D}^{25} = -1.9^{\circ}$ (c = 1.16). IR (CHCl₃): 3020m, 2970m, 2940m, 2880m, 1795s, 1670s, 1480s, 1310s, 1130s, 990s. ¹H-NMR (300 MHz): 0.98 (s, t-Bu); 4.85 (d, J = 2.64, =CH); 5.13 (d, J = 2.64, =CH); 5.43 (s, H-C(2)). ¹³C-NMR (75 MHz): 22.82; 35.87; 90.83; 109.38; 144.19; 162.48. MS: 156 (11, M^+), 87 (14), 86 (14), 57 (100), 43 (20), 42 (16), 41 (24), 29 (16). Anal. calc. for C₈H₁₂O₃: C 61.52, H 7.74; found: C 61.43, H 7.96.

(2S,5S)-2-(tert-Butyl)-5-methyl-1,3-dioxolan-4-one (1) by Hydrogenation of 3. To the soln. of 3 (50 mg, 0.32 mmol) in AcOEt (5 ml), 10% Pd (10 mg, 10% on charcoal) was added. The mixture was stirred under H₂ for 16 h, filtered, and evaporated. The residue was filtered through flash silica gel (3 cm) in a *Pasteur* pipette using hexane/Et₂O 3:1. The filtrate was evaporated: 1 (48 mg, 95%), identical with the material prepared according [7]. $[\alpha]_{D}^{25} = +44.4$ (c = 1.39; [7]: $[\alpha]_{D}^{25} = +44.8^{\circ}$ (c = 1.83)).

(2S)-3-Benzoyl-2-(tert-butyl)-4-methylidene-1,3-oxazolidin-5-one (6). The oxazolidinone 4^{20}) (2 g, 7.6 mmol), NBS (1.42 g, 8 mmol), and AIBN (80 mg) were refluxed in CCl₄ (40 ml) for 1 h. The suspension was cooled to 0°, filtered, and evaporated to give the crude bromooxazolidinone **5** which was dissolved in benzene (100 ml). DBU (1.8 ml, 11.7 mmol) was added and the mixture stirred for 30 min. The brown suspension was filtered, evaporated, and the residue was purified by FC (hexane/Et₂O 4:1): **6** (900 mg, 45.5%) as an oil. $[\alpha]_{D}^{25} = -148.6°$ (c = 0.68). IR (CHCl₃): 3020m, 2970m, 2880w, 1790s, 1680s, 1640m, 1370s, 1345s, 1280s, 1145s. ¹H-NMR (300 MHz): 0.99 (s, t-Bu); 4.57 (br. s, =CH); 5.42 (d, J = 1.8, =CH); 6.16 (s, H–C(2)); 7,65 (m, 5 arom. H). ¹³C-NMR (20 MHz): 24.17; 38.75; 93.16; 101.72; 127.58; 128.66; 131.07; 131.56; 134.40; 164.40; 169.87. MS: 259 (2, M^{++}), 106 (12), 105 (100), 77 (31), 57 (3), 51 (6). Anal. calc. for C₁₅H₂₇NO₃: C 69.48, H 6.61, N 5.40; found: C 69.23, H 6.64, N 5.09.

(2S, 5R)-*1-Benzoyl-2-(*tert-*butyl)-5-methoxy-3-methylimidazolidin-4-one* (**9b**). Imidazolidinone **7** [18] (1 g, 3.85 mmol), NBS (685 mg, 3.85 mmol), and AIBN (10 mg) were suspended in CCl₄ (40 ml) and refluxed for 40 min, cooled to 0°, filtered, and evaporated: **8** (1.3 g). MeOH (30 ml) was added, the soln. was stirred for 5.5 h, and evaporated to give an oil which was chromatographed (hexane/Et₂O 1:4) to give **9b** (580 mg, 52.3%). M.p. 130–131°. $[\alpha]_{D5}^{25} = -88.95^{\circ}$ (c = 1.14). 1R (KBr): 2980m, 2960m, 2940m, 2830w, 1710s, 1670s, 1365s, 1300s, 1080s. ¹H-NMR (300 MHz): 1.11 (s, t-Bu); 3.02 (s, CH_3N); 3.48 (s, CH_3O); 4.48 (s, H-C(5)); 5.53 (s, H-C(2)); 7.48 (m, 3 arom. H); 7.75 (m, 2 arom. H). ¹³C-NMR (75 MHz): 26.27; 30.96; 37.23; 56.11; 79.77; 85.57; 128.37; 128.43; 131.76; 134.19; 167.59; 172.53. MS: 289 (0.1, M^{+*} – 1), 234 (11), 233 (76), 106 (11), 105 (100), 77 (35), 51 (5), 42 (13), 41 (5), 29 (3). Anal. calc. for C₁₆H₂₂N₂O₃: C 66.18, H 7.64, N 9.65; found: C 65.94, H 7.70, N 9.63.

(2R)-5-Bromo-2,6-dimethyl-2H,4H-1,3-dioxin-4-one (12). Dioxanone 11 [23] (0.5 g, 3.8 mmol; 9:1 mixture of cis/trans- isomer) was dissolved in CCl₄ (30 ml), NBS (1.44 g, 8 mmol), and AIBN (50 mg) were added. The mixture

²⁰) We changed the original workup procedure [10] as follows: the org. layer was stirred with conc. NaHCO₃ soln., dried, and evaporated. The residue was dissolved in Et₂O, hexane was added until the soln. became cloudy, and after standing at r.t. and at -20° , the product had crystallized.

was refluxed for 1.5 h, cooled to 0°, and evaporated. The residue was purified by FC (hexane/Et₂O 2:1): **12** (340 mg, 45%) as an oil. $[\alpha]_{D}^{25} = -185.9^{\circ}$ (c = 2.52, max. 80% ee). IR (CHCl₃): 3040m, 2920w, 1740s, 1620s, 1390s, 1330s, 1110m, 1020s. ¹H-NMR (90 MHz): 1.68 (d, J = 5, CH₃-C(2)); 2.25 (s, CH₃-C(6)); 5.62 (q, J = 5, H-C(2)). ¹³C-NMR (20 MHz): 18.83; 24.79; 91.79; 98.19; 158.31; 169.51. MS: 208 (16, M^{++}), 206 (17, M^{++}), 165 (8), 164 (49), 162 (50), 122 (30), 120 (32), 55 (11), 43 (100). Anal. cale. for C₆H₇BrO₃: C 34.81, H 3.41, Br 38.60; found: C 34.83, H 3.45, Br 38.34.

(2R)-5-Bromo-2-(tert-butyl)-6-methyl-2H,4H-1,3-dioxin-4-one (14). Dioxanone 13 [24] (17.2 g, 0.1 mol), NBS (37.8 g, 0.21 mol), and AIBN (860 mg) were refluxed for 4.6 h in CCl₄ (140 ml). The mixture was cooled to 0°, filtered, evaporated, and distilled to give 4:1 mixture 14/15 (26 g). The yellow oil was purified by FC (hexane/Et₂O 3:1): 14 (17.8 g, 71 %²¹)) as an oil. $[\alpha]_{25}^{25} = -183.9^{\circ}$ (c = 1.17). IR (KBr): 2970m, 2940m, 2920m, 2880m, 1740s, 1600s, 1330s, 1170s, 1090s, 980s, 750m. ¹H-NMR (90 MHz): 1.08 (s, t-Bu); 2.28 (s, CH₃); 5.15 (s, H–C(2)). ¹³C-NMR (75 MHz): 19.79; 23.86; 34.31; 91.77; 105.77; 158.95; 169.64. MS: 250 (16, M^{++}), 248 (16, M^{++}), 165 (60), 164 (63), 163 (63), 162 (61), 122 (26), 120 (28), 86 (28), 85 (15), 71 (18), 69 (11), 57 (99), 55 (18), 43 (100). Anal. calc. for C₉H₁₃BrO₃: C 43.40, H 5.26, Br 32.08; found: C 43.35, H 5.28, Br 32.53.

(2R)-5-Bromo-6-(bromomethyl)-2-(tert-butyl)-2H,4H-1,3-dioxin-4-one (15). The dioxanone 13 [24] (1.0 g, 5.8 mmol), NBS (3.9 g, 22 mmol), and AIBN (30 mg) were refluxed in CCl₄ (20 ml) for 4.5 h. The mixture was cooled to 0°, filtered, and evaporated. The residue was purified by FC (CH₂Cl₂/hexane 3:1): 15 (907 mg, 48%). B.p. 85°/0.01 Torr. $[\alpha]_{25}^{D5} = -131.6°$ (c = 1.21). IR (CHCl₃): 3040w, 2980m, 2970m, 1745s, 1605m, 1350s, 1180m, 1080s. ¹H-NMR (300 MHz): 1.09 (s, t-Bu); 4.09, 4.30 ($AB, J = 11.0, CH_2Br$); 5.16 (s, H-C(2)). ¹³C-NMR (75 MHz): 23.81; 25.36; 34.48; 93.66; 107.23; 158.43; 165.70. MS: 330 ($2, M^{++} + 1$), 328 ($4, M^{++} \pm 1$), 326 ($2, M^{++} - 1$), 244 (11), 243 (18), 242 (22), 240 (11), 149 (11), 147 (12), 107 (12), 105 (12), 86 (19), 57 (100), 43 (13), 41 (40), 39 (15), 29 (20), 27 (13). Anal. calc. for C₉H₁₂Br₂O₃: C 32.96, H 3.69; found: C 33.06, H 3.44.

(2 R)-2-(tert-Butyl)-6-methyl-2H,4H-1,3-dioxin-4-one (16). Dioxanone 13 [24] (5 g, 29.1 mmol), NBS (10.9 g, 61 mmol), and AIBN (100 mg) were refluxed in CCl₄ (100 ml) for 3 h. The mixture was cooled to 0°, filtered, and evaporated to give a yellow oil (7.4 g), which was dissolved in EtOH (100 ml), 10% Pd (1 g), and Et₃N (7.8 ml, 56 mmol) were added, and the mixture was stirred under H₂ for 6 h (TLC hexane/Et₂O 3:1). Filtration and evaporation gave a yellow solid which was purified by recrystallization (hexane/Et₂O) to give 16 (2.95 g, 60%), which was identical with the material prepared according [26]. M.p. 48.5°. [α]₂₅²⁵ = -217.7° (c = 1.00). IR (KBr): 3120w, 2980m, 2970m, 2920m, 2880w, 1740s, 1710m, 1640m, 1390m, 1350m, 1240m, 1220m, 1080m. ¹H-NMR (90 MHz): 1.05 (s, t-Bu); 2.05 (s, CH₃); 5.00 (s, H-C(2)); 5.35 (s, =CH). ¹³C-NMR (75 MHz): 19.46; 24.11; 34.44; 95.88; 106.15; 163.13; 172.18. MS: 169 (2, M^{+*} - 1), 168 (13), 153 (12), 125 (6), 86 (25), 85 (17), 84 (16), 69 (21), 57 (100), 43 (40), 41 (87), 39 (31), 29 (56), 27 (25). Anal. calc. for C₉H₁₄O₃: C 63.51, H 8.29; found: C 63.35, H 8.49.

(2R)-5-Azido-2-(tert-butyl)-6-methyl-2H,4H-1,3-dioxin-4-one (17). Dioxinone 14 (5 g, 20.1 mmol), AcOH (0.2 ml), and NaN₃ (6.5 g, 0.1 mol) were stirred in DMF (100 ml) at 25° for 3 d. H₂O (400 ml) was added and the mixture extracted with hexane (3 × 150 ml), dried, and evaporated. The residue was purified by FC (hexane/Et₂O 8:1): 17 (2.2 g, 52%) as an oil. $[\alpha]_{25}^{25} = -218.6^{\circ}$ (c = 1.34). IR (CHCl₃): 2980m, 2960m, 2910w, 2880w, 2120s, 1730s, 1640s, 1410s, 1400s, 1300s, 1180m, 1110s. ¹H-NMR (90 MHz): 1.10 (s, t-Bu); 2.05 (s, CH₃): 5.08 (s, CH). ¹³C-NMR (75 MHz): 15.61; 23.89; 34.26; 105.47; 108.99; 159.21; 160.47. MS: 211 (2, M^{++}), 140 (16), 87 (19), 86 (7), 71 (8), 70 (12), 69 (49), 57 (58), 55 (15), 43 (100), 41 (46), 29 (19), 28 (18). Anal. calc. for C₉H₁₃O₃N₃: C 51.18, H 6.20, N 19.89; found: C 50.94, H 6.24, N 19.64.

(2R)-5-Amino-2-(tert-butyl)-6-methyl-2H,4H-1,3-dioxin-4-one (18a). Azide 17 (200 mg, 0.95 mmol) was dissolved in AcOEt (10 ml), 10% Pd (50 mg) was added, and the mixture was stirred under H₂ for 16 h, filtered, and evaporated: 18a (168 mg, 96%; pure according to the ¹H-NMR). ¹H-NMR (90 MHz): 1.01 (*s*, *t*-Bu); 2.01 (*s*, CH₃); 2.85 (br., NH₂); 4.91 (*s*, O-CH-O). The neat 18a was unstable and can best be characterized as the acetamido derivative 18b.

(2R)-5-Acetamido-2-(tert-butyl)-6-methyl-2H,4H-1,3-dioxin-4-one (18b). Azide 17 (0.2 g, 0.95 mmol) was dissolved in AcOH/Ac₂O (10 ml, 1:1), 10% Pd (50 mg) was added, and the mixture was stirred under H₂ for 16 h, filtered, and evaporated. The residue was purified by FC (Et₂O/hexane 3:1): 18b (207 mg, 96%) as a foam. $[\alpha]_{25}^{D5} = -177.2^{\circ}$ (c = 0.45). IR (KBr): 3540m, 3420m, 3310s, 2980s, 2970m, 2880m, 1745s, 1720s, 1670s, 1640s, 1535s, 1400s, 1370s, 1350s, 1240s, 1225s, 1165s, 1090s. ¹H-NMR (90 MHz): 1.08 (s, t-Bu); 2.02 (s, CH₃); 2.13 (s, CH₃); 5.12 (s, H-C(2)); 6.85 (br., NH). ¹³C-NMR: 17.24; 23.25; 24.02; 34.27; 105.53; 105.91; 162.36; 167.58;

²¹) Upon standing at r.t. for several days, a sample of crude 14 racemized and crystallized (m.p. of the racemic mixture, 85.5-86.5°).

168.62. MS 228 (2, M^{++} + 1), 227 (7, M^{++}), 185 (3), 149 (4), 146 (16), 141 (100), 123 (83), 113 (18), 100 (11), 99 (88), 71 (53), 43 (97), 18 (7). Anal. calc. for C₁₁H₁₇NO₄: C 58.14, H 7.54, N 6.16; found: C 57.85, H 7.60, N 6.04.

(3R)-3-Hydroxypentanoic Acid (19). KOH (1280 ml, 1N, 1.28 mol) was added dropwise to (*R*)-ethyl 3-hydroxypentanoate (from PHB/PHV, [22]; 80.5 g, 0.55 mol) at 0°. The mixture was left at 4° for 20 d. HCl (1280 ml, 1N, 1.28 mol) was added dropwise at 0°. The mixture was extracted with Et₂O (2000 ml; *Kutscher-Steudel* apparatus), dried, and evaporated. The residue was purified by distillation: 19 (61 g, 94%) as an oil which solidfied on standing in the refrigerator. B.p. 85–90°/0.08 Torr. M.p. 30–31°. [α]₂₅²⁵ = -37.6° (*c* = 1.25; [38]: -35°). IR (CHCl₃): 3500w (br., 3000m, 2970s, 2940m, 2880m, 1710s, 1410m, 1060m, 1030m. ¹H-NMR (300 MHz): 0.97 (*t*, *J* = 7.4, CH₃); 1.54 (*m*, 2H–C(4)); 2.46 (*dd*, *J* = 3.41, 16.43, H_A–C(2)); 2.56 (*dd*, *J* = 3.41, 16.43, H_B–C(2)); 3.98 (*m*, H–C(3)); 6.64 (br., OH, COOH). ¹³C-NMR (75 MHz): 9.78; 29.26; 40.66; 69.47; 177.07. MS: 118 (0,8, M⁺⁺), 117 (11), 100 (13), 71 (100), 70 (26), 57 (14), 56 (50), 45 (20), 42 (24), 18 (28). Anal. calc. for C₃H₁₀O₃: C 50.84, H 8.53; found: C 50.61, H 8.54.

(2 R, 6 R)-2-(tert-*Butyl*)-6-ethyl-1,3-dioxan-4-one (20). (3*R*)-3-Hydroxyvaleric acid (10 g, 84.7 mmol), pivalaldehyde (20 ml, 181.2 mmol), and *Dowex 50W* (1 g) were refluxed in CH₂Cl₂ (120 ml) for 17 h using an inverse H₂O trap. The mixture was filtered, extracted with 10% Na₂CO₃ (3 × 100 ml), dried, and evaporated: **20** (11.8 g, 75%) as a 7.5:1 mixture of *cis/trans*-isomers. This was fractionally distilled through a *Spaltrohrkolonne (Fischer;* 60 theoretical plats, reflux ratio 50:1) to give **20** (>98% *cis*). B.p. 55°/0.08 Torr. $[\alpha]_{D}^{25} = -37.2^{\circ}$ (*c* = 1.28). IR (CHCl₃): 3050m, 2965s, 2940m, 2880m, 1740s, 1485m, 1370m, 1350m, 1270m, 1250s, 1090m. ¹H-NMR (300 MHz): 0.97 (*t*, *J* = 7.5, CH₃CH₂); 0.98 (*s*, *t*-Bu); 1.62 (*m*, CH₃CH₂); 2.36 (*dd*, *J* = 10.5, 17.5, H_A-C(5)); 2.66 (*dd*, *J* = 4.5, 17.5, H_B-C(5)); 3.78 (*m*, H-C(6)); 4.90 (*s*, H-C(2)). ¹³C-NMR (75 MHz): 9.16; 23.88; 28.44; 35.21; 35.95; 75.15; 108.30; 168.16. MS: 185 (0.3, *M*⁺⁺ -1), 149 (2), 129 (23), 87 (32), 83 (100), 57 (60), 56 (34), 43 (6), 41 (14), 29 (7), 18 (9). Anal. calc. for C₁₀H₁₈O₃: C 64.49, H 9.74; found: C 64.44, H 10.19.

(2R)-6-(1-Bromoethyl)-2-(tert-butyl)-2H,4H-1,3-dioxin-4-one (21). Dioxanone 20 (1 g, 5.4 mmol), NBS (2 g, 11.9 mmol), and AIBN (100 mg) were refluxed in CCl₄ for 1.5 h. The mixture was cooled to 0°, filtered, and evaporated to give a yellow oil. The residue was purified by FC (hexane/Et₂O 4:1): 21 (610 mg, 43%) as an oily 1:1 mixture of diastereoisomers. $[\alpha]_{D}^{25} = -98.1^{\circ}$ (c = 2.6). IR (CHCl₃): 3020m, 2980m, 2965m, 1735s, 1630s, 1485m, 1395s, 1360s, 1295m, 1090s. ¹H-NMR (300 MHz): 1.09, 1.10 (s, t-Bu); 1.84, 1.85 (2d, J = 6.9, CH₃CHBr); 5.54, 5.55 (2q, J = 6.9, CH₃CHBr); 5.08, 5.09 (2s, H–C(2)); 5.51, 5.56 (2s, H–C(5)). ¹³C-NMR (75 MHz): 21.52; 21.69; 23.83; 34.48; 40.34; 41.41; 94.79; 106.91; 162.19; 171.08; 171.76. MS: 264 (1, M^{++}), 262 (1, M^{++}), 179 (98), 177 (100), 126 (26), 98 (58), 97 (9), 87 (37), 71 (13), 69 (75), 57 (66), 43 (13), 41 (41), 39 (16), 29 (19), 27 (19). Anal. calc. for C₁₀H₁₅BrO₃: C 45.65, H 5.75, Br 30.37; found: C 45.48, H 5.68, Br 30.41.

(2 R)-2-(tert-*Butyl*)-6-(1,1-dibromoethyl)-2H,4H-1,3-dioxin-4-one (22). Dioxanone 20 (1 g, 5.37 mmol), NBS (4.7 g, 26.4 mmol), and AIBN (100 mg) were refluxed in CCl₄ (94 ml) for 135 min. The mixture was cooled to 0°, filtered, and evaporated to give a yellow oil, which was purified by FC (hexane/Et₂O 4:1): 22 (1.08 g, 58.6%). M.p. 61°. $[\alpha]_{D}^{25} = -92.9^{\circ}$ (c = 0.86). IR (CHCl₃): 3010w, 2980m, 2970m, 1740s, 1620m, 1490w, 1350s, 1100m. ¹H-NMR (90 MHz): 1.12 (s, t-Bu); 2.65 (s, CH₃); 5.15 (s, H–C(2)); 5.80 (s, H–C(5)). ¹³C-NMR (75 MHz): 23.98; 34.72; 36.76; 51.14; 93.61; 107.56; 161.97; 170.02. MS: 344 (0.2, M^+), 342 (0.3, M^+), 340 (0.2, M^+), 258 (4), 256 (7), 254 (4), 149 (4), 147 (3), 86 (15), 69 (100), 57 (58), 43 (24), 41 (48), 39 (29), 32 (23), 29 (26), 28 (83), 27 (21). Anal. calc. for C₁₆H₁₄Br₂O₃: C 35.12, H 4.13, Br 46.72; found: C 35.04, H 4.11, Br 46.61.

(2R)-2-(tert-Butyl)-6-ethyl-2H,4H-1,3-dioxin-4-one (23). Dioxanone 20 (5 g, 26.9 mmol), NBS (10.05 g, 56.4 mmol), and AIBN (100 mg) were refluxed in CCl₄ (100 ml) for 6 h. The mixture was cooled to 0°, filtered, and evaporated to give a yellow oil (7.8 g), which was dissolved in AcOEt (100 ml). 10% Pd (1 g) and Et₃N (7.8 ml, 56 mmol) were added, and the mixture was stirred under H₂ for 6.5 h. The suspension was filtered, extracted with H₂O (50 ml), evaporated, and the residue was purified by FC (hexane/Et₂O 3:1): 23 (2.5 g, 50.5%) as an oil. $[\alpha]_{D}^{25} = -170.8^{\circ} (c = 1.39)$. IR (CHCl₃): 3010w, 2990m, 2970m, 2880m, 1730s, 1630s, 1400s, 1370s, 1360s, 1300m, 1090s. ¹H-NMR (300 MHz): 1.06 (s, t-Bu); 1.14 (t, J = 7.5, CH₃CH₂); 2.35 (qd, J = 7.5, 0.8, CH₃CH₂); 5.03 (s, H-C(2)); 5.29 (t, J = 0.8, H-C(5)). ¹³C-NMR (75 MHz): 9.90; 23.83; 26.21; 34.21; 93.98; 105.87; 163.22; 176.53. MS: 185 (3, M^{++} +1), 184 (4, M^{++}), 127 (30), 99 (100), 69 (45), 57 (42), 43 (19), 41 (43), 39 (30), 29 (36), 27 (35), 15 (10). Anal. calc. for C₁₀H₁₆O₃: C 65.19, H 8.75; found: C 65.23, H 9.02.

(2S)-2-Bromo-3-hydroxypropanoic Acid (24). We followed the procedure described in [36]. $[\alpha]_{25}^{25} = -7.8^{\circ}$ (c = 1.3). IR (KBr): 3400s (br.), 2950m, 2650m, 1730s, 1460m, 1400m, 1250m, 1190m, 1070m, 1030m. ¹H-NMR (300 MHz, (D₆)DMSO): 3.66 ('dd', J = 5.9, 11.7, H_A-C(3)); 3.80 ('dd', J = 7.8, 11.5, H_B-C(3)); 4.25 ('dd', J = 6.0, 7.8, H-C(2)); 5.20 (br., OH); 12.50 (br., COOH). ¹³C-NMR (25 MHz, (D₆)DMSO): 48.62; 64.74; 171.64. MS: 171 (1, M^{++} + 1), 169 (1, M^{++} + 1), 153 (7), 151 (7), 140 (12), 138 (12), 123 (10), 122 (18), 120 (18), 81 (12), 79 (12), 71 (38), 55 (32), 45 (77), 43 (77), 42 (46), 31 (88), 29 (80), 27 (100), 26 (42). Anal. calc. for C₃H₅BrO₃: C 21.32, H 2.98; found: C 21.52, H 3.13.

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(2S)-(*Trimethylsilyl*) 2-Bromo-3-(*trimethylsilyloxy*)propanoate (25). Hexamethyldisilazane (27.2 ml, 130 mmol) was added within 20 min at -20° to a suspension of 24 (20 g, 118 mmol) in CH₂Cl₂ (100 ml). The mixture was stirred at -20° for 14 h and at 25° for 6 h, and evaporated. The residue was distilled: 25 (36.5 g, 98.8 %) as a colourless liquid. B.p. 58°/0.2 Torr. [α]_D⁵ = + 4.51 (c = 2.25). IR (CHCl₃): 3020w, 2960m, 1720s, 1290m, 1255s, 1110s, 1070m, 850s. ¹H-NMR (300 MHz): 0.12 (s, (CH₃)₃Si); 0.32 (s, (CH₃)₃Si); 3.86 (*'dd'*, J = 5.6, 10.3, H_A-C(3)); 4.00 (*'dd'*, J = 8.5, 10.3, H_B-C(3)); 4.18 (*'dd'*, J = 5.6, 8.5, H-C(2)). ¹³C-NMR (25 MHz): 45.89; 63.21; 168.54. MS: 299 (11), 297 (11), 232 (2), 177 (8), 147 (62), 139 (6), 137 (6), 103 (8), 101 (16), 73 (70), 59 (11), 55 (10), 45 (14), 32 (24), 28 (100). Anal. calc. for C₉H₂₁BrO₃Si₂: C 34.50, H 6.71; found: C 34.34, H 6.75.

(2R,5S)- and (2S,5S)-5-Bromo-2-(tert-butyl)-1,3-dioxan-4-one (26 and 27). Pivalaldehyde (1.8 ml, 16.4 mmol) was added dropwise to a stirred soln. of 25 (4.0 g, 12.7 mmol) and trimethylsilyl trifluoromethanesulfonate (0.23 ml, 1.27 mmol) in CH₂Cl₂ (40 ml) at -78° . The mixture was stirred at -78° for 7 h, quenched with phosphate buffer (pH 7; 20 ml), extracted with CH₂Cl₂ (3 × 40 ml), and evaporated: 26/27 as a 1:1 mixture. The mixture was recrystallized (hexane/Et₂O): 26 (600 mg, 20%) as a solid. The filtrate was evaporated and the residue purified by FC (hexane/Et₂O 2:1): 27 (730 mg, 24.5%).

26: m.p. 96–100°. $[\alpha]_D^{25} = +10.25$ (c = 1.3). IR (KBr): 2980*m*, 2940*w*, 2910*m*, 1730*s*, 1485*w*, 1405*m*, 1370*s*, 1250*m*, 1115*m*, 1025*m*, 965*m*, 945*m*. ¹H-NMR (300 MHz): 1.04 (*s*, *t*-Bu); 4.26 ('*dd*', *J* = 3.2, 13.1, H_A-C(6)); 4.38 ('*dd*', *J* = 1.6, 13.1, H_B-C(6)); 4.44 ('*dd*', *J* = 1.6, 3.2, H-C(5)); 5.0 (*s*, H-C(2)). ¹³C-NMR (75 MHz): 23.74; 35.43; 37.76; 69.99; 110.16; 164.49. MS: 239 (0.4, M^{++} + 1), 237 (0.4, M^{++} + 1), 181 (6), 179 (6), 140 (9), 138 (8), 135 (9), 133 (9), 71 (10), 57 (100), 55 (16), 43 (22), 41 (35), 29 (32), 27 (31). Anal. calc. for C₈H₁₃BrO₃: C 40.53, H 5.53, Br 33.70; found: C 40.40, H 5.48, Br 34.36.

27: m.p. 55–58°. $[\alpha]_{D}^{25} = + 53.0$ (c = 1.1). IR (KBr): 2980*m*, 2970*m*, 2940*m*, 2910*m*, 2880*w*, 1745*s*, 1480*w*, 1410*m*, 1370*m*, 1320*m*, 1050*m*, 1030*m*, 970*m*. ¹H-NMR (300 MHz): 0.99 (s, t-Bu); 4.02 ('dd', J = 9.4, 10.3, H_A-C(6)); 4.50 ('dd', J = 7.5, 10.4, H_B-C(6)); 4.54 ('dd', J = 7.5, 9.4, H-C(5)); 5.05 (s, H-C(2)). ¹³C-NMR (75 MHz): 23.76; 35.35; 37.16; 70.22; 110.10; 163.99. MS: 239 (1, $M^{+1} + 1$), 237 (1, $M^{+1} + 1$), 181 (5), 179 (5), 140 (6), 138 (7), 135 (7), 133 (8), 57 (100), 55 (10), 43 (13), 41 (21), 29 (14), 27 (16). Anal. calc. for C₈H₁₃BrO₃: C 40.53, H 5.53, Br 33.70; found: C 40.26, H 5.52, Br 34.13.

(2 R)-2-(tert-Butyl)dioxan-4-one (28). A mixture of 26 (711.3 mg, 3 mmol), 10% Pd (150 mg), and Et₃N (0.63 ml, 4.5 mmol) was stirred under H₂ in AcOEt (100 ml) for 16 h. The mixture was filtered and washed with AcOEt (2 × 15 ml). The filtrate was extracted with H₂O (0°, 1 × 40 ml), dried, and evaporated. The crude acetal was recrystallized from hexane/Et₂O: 28 (410 mg, 86.5%). M.p. 71-72°. [α]₂₅²⁵ = -39.3° (c = 1.49). IR (KBr): 2980m, 2960m, 2940w, 2920m, 1750s, 1720s, 1380m, 1270m, 1250m, 990s. ¹H-NMR (300 MHz): 0.98 (s, t-Bu); 2.61 ('ddd', J = 2.4, 5.5, 17.8, H_A-C(5)); 2.80 ('ddd', J = 8.1, 10.6, 17.8, H_B-C(5)); 3.92 ('ddd', J = 5.5, 10.6, 11.2, H_A-C(6)); 4.24 ('ddd', J = 2.4, 8.1, 11.2, H_B-C(6)); 4.88 (s, H-C(2)). ¹³C-NMR (75 MHz): 24.02; 30.39; 35.32; 63.31; 108.92; 167.98. MS: 159 (5, M^{++} +1), 114 (9), 101 (26), 86 (28), 73 (35), 71 (11), 57 (100), 55 (69), 43 (25), 41 (26), 29 (23), 27 (13). Anal. calc. for C₈H₁₄O₃: C 60.74, H 8.92; found: C 60.51, H 9.17.

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